

PATENT
EXPRESS MAIL LABEL NO.: EL073739163US

JC932 U.S. PRO
09/695786
10/25/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:

NAIR ET AL.

CASE NO: CR9981 US DIV

APPLICATION NO.: UNKNOWN

GROUP ART UNIT: UNKNOWN

FILED: CONCURRENTLY HEREWITH

EXAMINER: UNKNOWN

FOR: METHOD FOR THE PRODUCTION OF
GLYCEROL BY RECOMBINANT ORGANISMS

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information listed on the enclosed PTO-1449. A copy of the information is also enclosed.

Benefit of the earlier filing date of U.S. Provisional Application No. 60/030,602, filed November 13, 1997, is claimed under 35 USC 120 for the above-referenced application and only copies of the information not previously made of record in the parent non-provisional U.S. Parent Application No. 08/968, 418 filed 12 November 1997 and the immediate predecessor case U.S. parent Application No. 08/982,783 filed December 3, 1997 are enclosed.

Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,

Linda Axamethy Floyd
LINDA AXAMETHY FLOYD
Attorney for Applicants
Registration No. 33,692
Telephone: 302-892-8112
Facsimile: 302-892-7949

Dated: 25 October 2000

| | | | | | | | |
|---|---|-----------------|---------------|--|-----------|--------------------------------------|--|
| Form PTO-1449 (Reproduced) INFORMATION DISCLOSURE CITATION IN AN APPLICATION <i>(Use several sheets if necessary)</i> | | | | Docket Number (Optional) CR9981 US DIV | | Application Number UNKNOWN | |
| | | | | Applicant NAIR ET AL. | | Group Art Unit UNKNOWN | |
| | | | | Filing Date CONCURRENTLY HEREWITH | | Filing Date 09/22/99 | |
| U. S. PATENT DOCUMENTS | | | | | | | |
| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE | |
| | | | | | | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | |
| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION YES NO | |
| | FR 2 725 145 A1 | 12/13/96 | FRANCE | | | | |
| | WO 97 07199 A1 | 02/27/97 | PCT | | | | |
| | WO 98 21340 A1 | 05/22/98 | PCT | | | | |
| | WO96 35795 | 11/14/96 | PCT | | | | |
| | WO96 35796 | 11/14/96 | PCT | | | | |
| | WO96 35799 | 11/14/96 | PCT | | | | |
| | WO98 21339 | 05/22/98 | PCT | | | | |
| | WO98 21341 | 05/22/98 | PCT | | | | |
| | WO96 41888 | 12/27/96 | PCT | | | | |
| OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i> | | | | | | | |
| | Ben-Amotz et al., <i>Experientia</i> , 38 , 49-52, 1982 | | | | | | |
| | Albertyn et al., <i>Mol. Cell. Biol.</i> , 14 , 4135-4144, 1994 | | | | | | |
| | Wang et al., <i>J. Bact.</i> , 176 , 7091-7095, 1994 | | | | | | |
| | HIRAYAMA ET AL., "Cloning and characterization of seven cDNAs for hyperosmolarity-responsive (HOR) genes of <i>Saccharomyces</i> ", <i>Mol. Gen. Genet.</i> , 249 , 127-138, 1995 | | | | | | |
| | OMORI ET AL., Breeding of High Glycerol-Producing <i>Shochu</i> Yeast (<i>Saccharomyces cerevisiae</i>) with Acquired Salt Tolerance, <i>Journal of Fermentation and Bioengineering</i> , 79 , 560-565, 1995 | | | | | | |
| EXAMINER | | | | DATE CONSIDERED | | | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | | | | | | | |

 10/25/00
 09/22/99
 U.S. PTO
 695786

**Form PTO-1449
(Reproduced)**

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**
(Use several sheets if necessary)

Docket Number (Optional)

Application Number
UNKNOWN

Applicant

NAIR ET AL.

Filing Date

CONCURRENTLY HEREWITH

Group Art U

HEREWITH

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

| | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION | |
|--|-----------------|------|---------|-------|-----------|-------------|----|
| | | | | | | YES | NO |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

OTHER DOCUMENTS (*Including Author, Title, Date, Pertinent Pages, Etc.*)

| | |
|----------|--|
| | Larason et al., Mol. Microbiol., 10, 1101, 1993 |
| | Eustace, R. et al., Department of Microbiology and Genetics, Selective hybridization and wine yeasts for higher yields of glycerol |
| | Norbeck et al., J. Biol. Chem., 271, 13875, 1996 |
| | Can. J. Microbiol., 33:112-117, 1987 |
| EXAMINER | DATE CONSIDERED |

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.